

CONCEPT TO COMMERCIALIZATION

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v
f a f

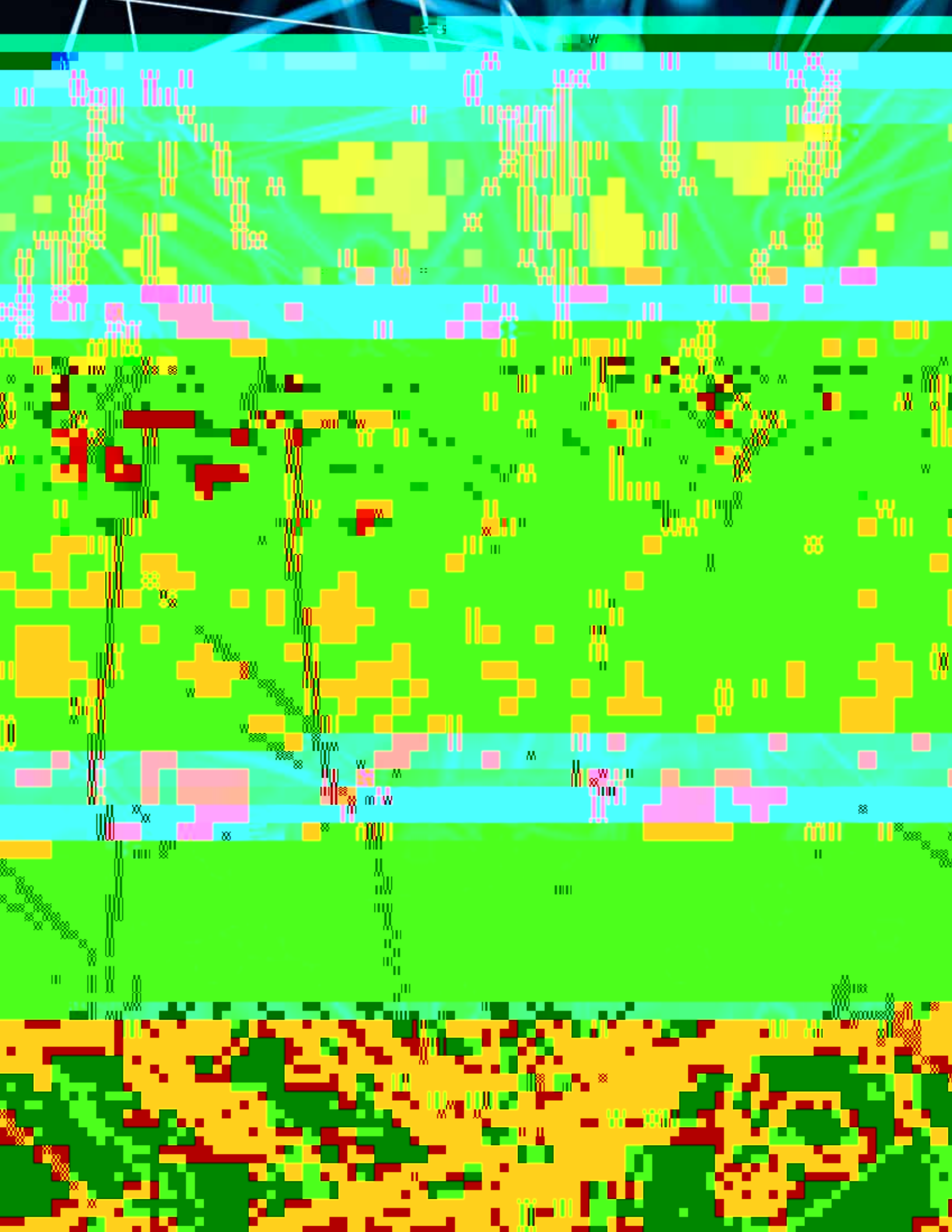
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CENTER FOR JOBS AND HUMAN CAPITAL

ABOUT THE MILKEN INSTITUTE

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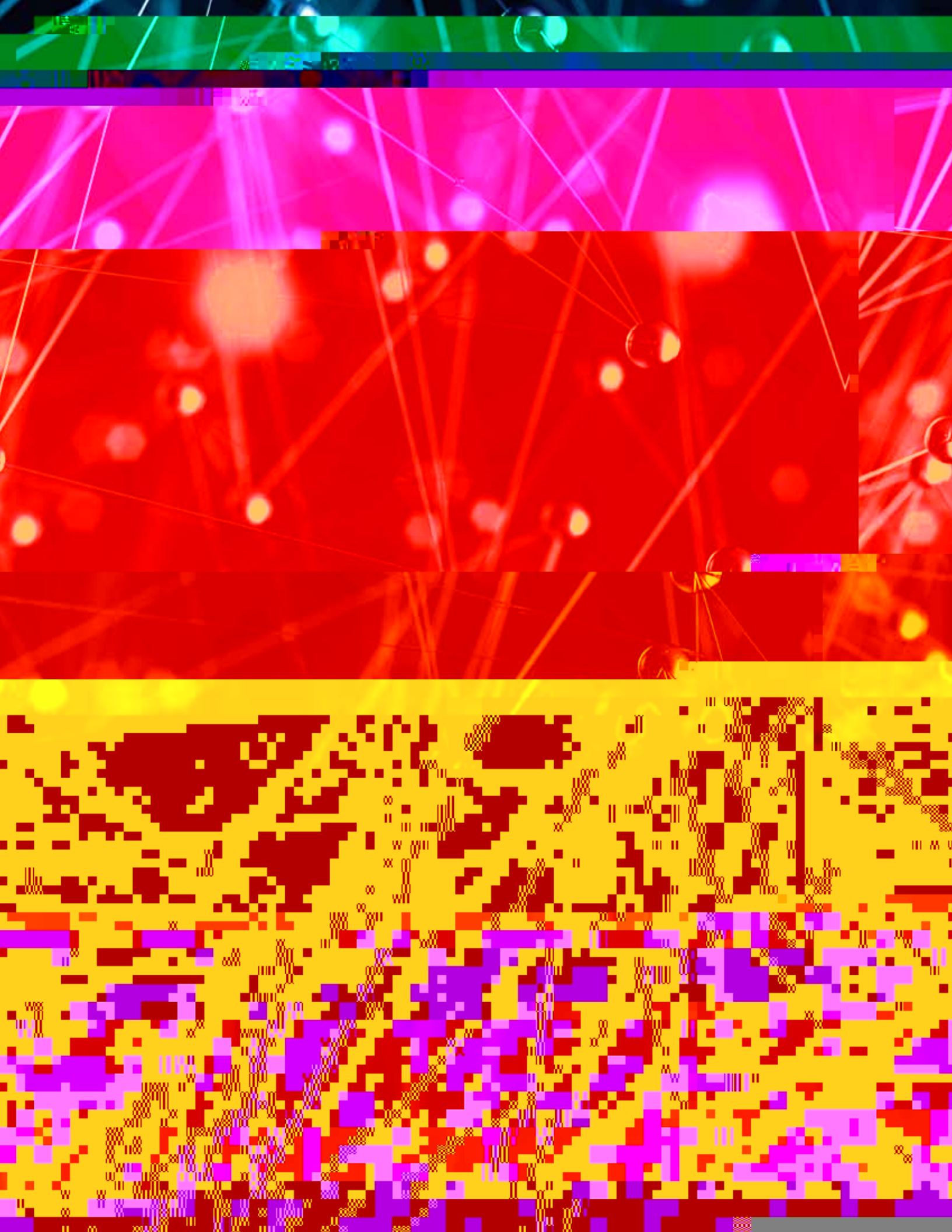
INTRODUCTION

a a a v - m m a
m a a v a a m , a m
a a m m a va .²
va a a v m (&D) a v ,
m a f - a a a a Am a a
v ³A , - a f ma a a a m
a a a a a a m m mm a
a a a , a a a
a m m a .

21 a va a v a a a a
f a a f - a a m
v m . a m
- a m v m : a a ma a a
a , a a a a v f va -
m . f a a m , a f
f v a a v m .⁴

m a f v v a ()
a va f m a . f ma a f
a v a m a a a a v ,
, a a a m a - a f m a -

A m v v f va mm a a f a m -
f va v ,f a a a , m a ,a
v m fa va a .11
v f a a ma a ma a m a va
a a a m v a v f m
; v , v f a a , f ,
f m



TECHNOLOGY TRANSFER, COMMERCIALIZATION PROCESS, AND REGIONAL ECONOMIES

2.1. TECHNOLOGY TRANSFER AND COMMERCIALIZATION PROCESS

v a f a mm a a a a a
a m f a m m a a a 1930
a 1940 a C m a f . C m a v a f
f a a ff f a a .
F , C m a v am a f a f Am a a a
D v m , fam v a a m, f ma a
- ff .¹⁴ A 1930 , a f a F ma a
f a m a a a a a

va - a a a a f f m , m m
a a m a a , a va f -
va v f ma a a a
a , v , a m f m

a v a v m a a m-
m a a f m f m a a
m .¹⁹

a a a v m v m a a a
a m f m a f ma v a
v a f . a a a
m a av a v mm a a a a
&D av a a va a v a a
v a . a va
f a ' va m , a a a
a m va a f am a am m. v
f a a a a a v m &D a m v a
a a a a af va a .

a a a a m a f v
a a af a a v a a a , a a
v a v a a (a f a m
a a fa va f , a v f ma , a a
a a a mm a av) a f m v -
a a m .

A f a m a a f v
v . a f m a a ,²⁰ a f a a m
v - v a a a,²¹ m a
m a v ,²² a f a . A
f a a a f v f a v
a m m m v f a
a v a .²³ a f - , a f ma-
a a a m m a f a m .

2.3. HISTORY OF TECHNOLOGY TRANSFER

a a mm a a f a a v -
a f a a a a a a a f ffa
a ffa m a a a a ,
f am , f a f v
m , v f ma va a -
v a a a a f a a f a .
A a , ma a ma va a f .
a m f ,²⁴ a a
f v a , a a a a
f f , a mm a a m f fa a
a v , a m m a f ff v a f a a v
v , a a a a a
a a v am a .

m m a a a a f m v a
 1980. Ba-D A, am a 1981, a v a
 a f a f a am a a a a
 f a va a a . v f v -
 a a va , a am a m a v
 f mm a a m v f v m a a
 v a , f am a f C f A a f
 F a C f v a , a a a
 m a a v a f v m a m .²⁶ a f
 a f A 1980, m f v a a
 f m 25 200.²⁷ m f a a a m a
 1995 a 2015.²⁸

2.4. SUMMARY OF SCALE OF ACTIVITY

M a 1,000 m a a a 2015 a a
 v , m a 70 f a - a am a a
 a a v a f a f a f
 m a 6,600 a a f -
 fa a m a 17 6,300.³⁰ a a m a a v
 a v a a v a a ma m , a
 v v a v a va m.

UNIVERSITY TECHNOLOGY TRANSFER AND COMMERCIALIZATION INDEX

D v m f a a a a a a v m
 f a a ; v , v
 a f a C mm a () am - a ma a f
 a a v am a a .
 a a a A a f v
 N a a (A N) v a A N ' A a A v v
 , v f Ca f a m.³¹
 m a f a av a (2012-15) f a f
 a f : a , m , a
 a - f m . a ma a af a av a f a
 a v a v f a a va a , f a a f .
 Ea v a v m m ff ,
 a afa (a va) a
 a a a v a mm a a . F am , a v
 a a ff f ma v a a va a .
 a a , a ma a m
 a a aa a a a v ma f mm a a .
 a a a a v a a a f
 a v a mm a a m a v a ma v a
 m a a m a f a v , a m
 m a ' a v f f mm a a a v . F
 a , m m ma a .
 a 2 a a va a a a , a
 a a a f m a
 a a a f m , a a f
 100f a . a a v
 f ma a m .

Table 2: University Technology Transfer and Commercialization Index Variable Weights

Variable	Weight	Weight	Weight
1	0.1	0.1	0.1
2	0.1	0.1	0.1
3	0.1	0.1	0.1
4	0.1	0.1	0.1
5	0.1	0.1	0.1
6	0.1	0.1	0.1
7	0.1	0.1	0.1
8	0.1	0.1	0.1
9	0.1	0.1	0.1
10	0.1	0.1	0.1
11	0.1	0.1	0.1
12	0.1	0.1	0.1
13	0.1	0.1	0.1
14	0.1	0.1	0.1
15	0.1	0.1	0.1
16	0.1	0.1	0.1
17	0.1	0.1	0.1
18	0.1	0.1	0.1
19	0.1	0.1	0.1
20	0.1	0.1	0.1
21	0.1	0.1	0.1
22	0.1	0.1	0.1
23	0.1	0.1	0.1
24	0.1	0.1	0.1
25	0.1	0.1	0.1
26	0.1	0.1	0.1
27	0.1	0.1	0.1
28	0.1	0.1	0.1
29	0.1	0.1	0.1
30	0.1	0.1	0.1
31	0.1	0.1	0.1
32	0.1	0.1	0.1
33	0.1	0.1	0.1
34	0.1	0.1	0.1
35	0.1	0.1	0.1
36	0.1	0.1	0.1
37	0.1	0.1	0.1
38	0.1	0.1	0.1
39	0.1	0.1	0.1
40	0.1	0.1	0.1
41	0.1	0.1	0.1
42	0.1	0.1	0.1
43	0.1	0.1	0.1
44	0.1	0.1	0.1
45	0.1	0.1	0.1
46	0.1	0.1	0.1
47	0.1	0.1	0.1
48	0.1	0.1	0.1
49	0.1	0.1	0.1
50	0.1	0.1	0.1

Table 3: University Technology Transfer and Commercialization Index: Top 25 Institutions



A DEEP DIVE INTO UNIVERSITIES AND THEIR RANKINGS ON THE INDEX

University of Utah (a) v a f a
 C mm a a (a f 100), f m 14 a a
 a 2006, a a ' 2006,
 a v v f m a v
 a a m a mm a a .³⁵ a a a
 \$417.2 m a 2015, a am
 a . a a a a ; a ,
 m , a a - a a a ma a
 , a a a a a a
 m m a a - v
 v a .
 F m 2012 2015, a a \$211.8 m m \$135.8
 a m a a v am a a
 69 a - , a ma a a m m a v a a
 C , a ma m a a a . a a a a a a
 v m a ma a a v f a fa a
 a C mm a a (C) f am
 a va a a m m , a a a mm a a
 . C mm a a E C mm a a , a a
 m fa f a f m a va f ff
 a ma f .³⁶
 a v f a a v f a 2016, D .
 A ma a a m a a a f a a

mm a a f a v a v f a a
 a a m m a , v a mm .
 v ff a a a a v a a
 a a a a . A v f a , f a
 a C a a a a f m
 v a a .
 v f a a ma ff f a a mm a a
 f m a a f a . A C f M a va
 (C), a a va av a a .³⁷

Figure 5 Top 25 University Technology Transfer and Commercialization Map

Columbia University
C m m a a . C m a a 2006 a a a v

Stanford University

... a m , m a f , ' a a a
... ma a a a . a
... 2006, a f ' fa a m a v .
... mm a a f ma a ' a a a . v -
... a a a a
... , a m m a , a f m a a a
... mm a a a . m a va a
... m f m a a a a a a f
... a f ma a a . a f a
... a m . v , m a a f a \$946.4 m
... a 2015, m a a f f a a
... f , m f v (a a)
... (a , , m , a a -) .

... a f ' f f () a a 1970, f
... a a a a f Ba -D A . a m
... a va a \$5,000. C m a v 1970, a a a
... \$1.77 a . f a a , \$319 m a m , \$318 m
... v a \$308 m .⁴⁴ a a
... ma a a v a ,
... ; a a ma () a a a
... a f f a m a ; , a a , a
... a v v m . M a a a f a f ' a
... va , f a 1971 a f a v
... ama a' a a , ma
... v .

University of Pennsylvania

() a , a f 95.39, f m
12 2006. C f ma a a a
a m . C f va a ' a
am a mm a a a a - 2014. a a -
a a f , m a \$888 m 2015, a \$3.6 2012
a 2015. m a \$42 m 2015.

va C v a a a a f va v a v
, a f m a a f 23-a va a a
a a a v . - a a va a
v a v a va - m , a a a a
a . a a , f am
a a a ma a m va va - C f
A va C a a a am . Am G ma a
a m a va a a a a , f
f a a

University of Washington

() a v , a a a a f m 24
2006, a f 95.11. a
- , m a 1,000 2012-2015.
m a a , a \$42.8 m 2015. a
C M 2015, a m a v
va m. C M a , va a , a m
a a m f va a f a a f , a a
a f a a va a f 30-50 a - a .

A a ff a a a , \$1.4 a
 2015. fV a a af f a a 2016, a
 27 f a - CV a a a a
 . f ma a f a m ma 26 , A
 a f \$200 m 2016. a a ma a m

Carnegie Mellon University

10 a f 93.72. a
a m - a m a a a
v a v a am afa a a f a m-
m a a , C f a f a E C a
(C EC). m , a a v f Ca M ' m
a m , v a a a v , m - a , a
m a a m a . G a , f
a a a va
v a a f a . a a a a
a a , a a m f
\$60,000 v m M G C m .

A av a m a , Ca M a a \$244 m
a 2015. v a , 312 2012 a
2015, a \$38 m m a a v am . Af
v a m a f a a a
v Ca M v f M a, a
f m a a A a av , a a M a v m . a
M a v G , av , a f a M a a

Arizona State University (ASU) 21, a m v m v m f m 43
 2006. M a C ' a , a
 a a m a mm a a .A E a f m 2003
 ma a A ' E v a M a a m C m a . a
 v a a m a f A v a v
 ma a . A m mm a a f ma () a v
 f a a ().⁴⁷

University of Central Florida (CF), a a , 22 . CF
 a f f ma A M 2006. A a a
 a f a a a m a 2006, a , a ,
 a M a a m
 m a .⁴⁸ v m a a CF a Cam a a
 a m a ' 170,000- a f m a a
 fa ,f a a a a m ,a a
 198,000- a f B B m a , a mm -
 a a f .⁴⁹

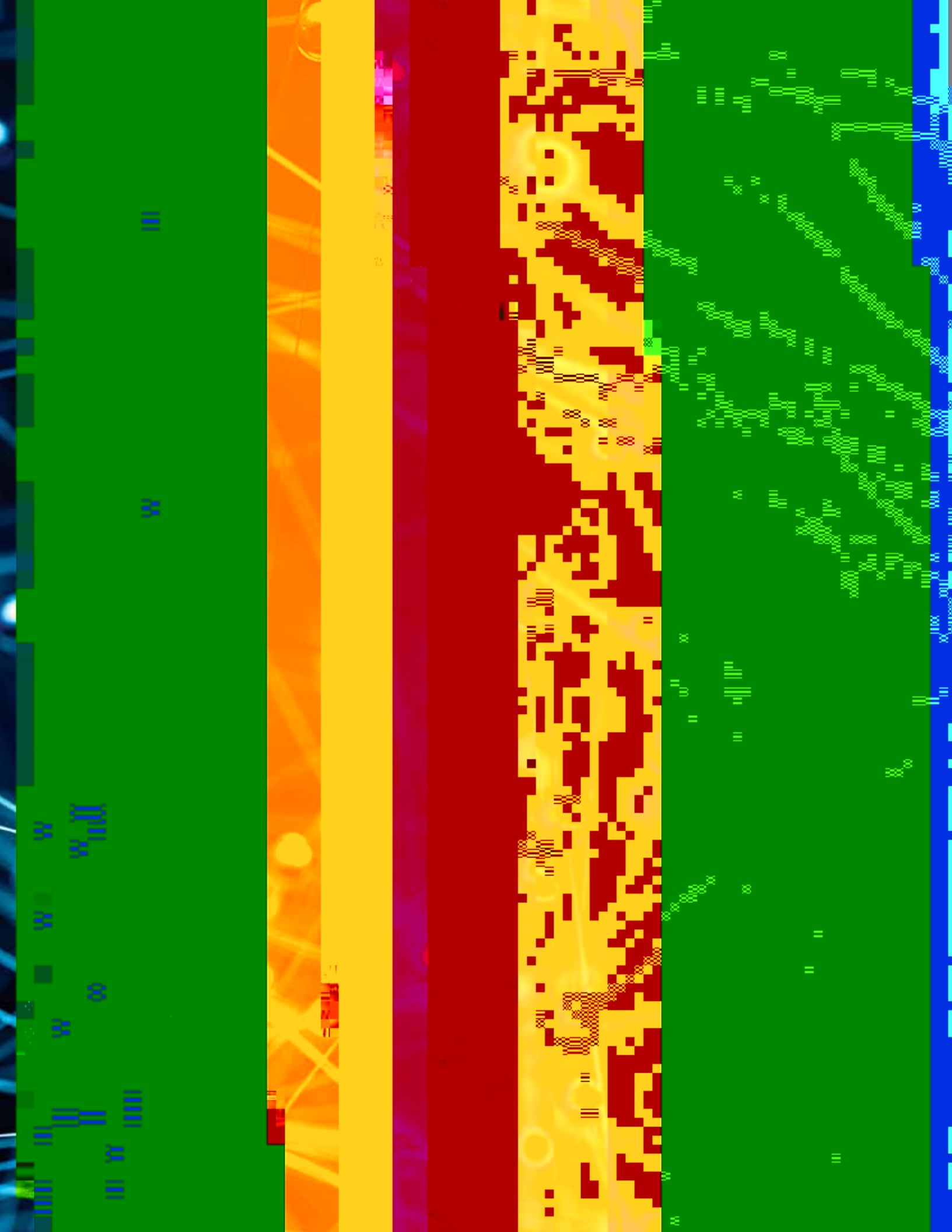
Northwestern University



UNIVERSITY TECHNOLOGY TRANSFER AND JOB CREATION

va a v a a a a av a
a a a a ma v f
m.C a ma - f m a v
a a m a . E m Ca a
C mm 1997, a a Ca a a a a
E a a G a a, m m Am a a Ca a
m (A C) a a a Am a' m f .
a m f a a a C (C) f A C a f a m
v a a a m a a a a a
ma - f . C a a a a a a a
Ca a (C) a a v m a f va f -
, a , a v f m .
F am , C av a m
amm , f a v (a a & m f a), a v -
.50 1997 f ma a a a a ff
2002 m a a . m a , a A C f &D v
1997 2002.51 B 2007 f ma
- A C 541711 (&D -).52, 53 2017, A C
5417 a a a a (A C 54174) a a
(A C 541713).54 f A C m a a a a a
f v a . 1997, 660 - A C av
1,057, v m a a f .

a a v m a a f a a m a a f



CONCLUSIONS AND POLICY RECOMMENDATIONS

... a v a f a Am a a m
a f va .F a a f f f v
a v a a v m a a f . a
f a f a Am a m .

v a a f a mm a a
a va v . a a a ,13 f 25
v a a a ,a a ma m a a ,a a a
v a v a a a m a a
m a . va a , a av
m f v a v a f m .

v a f a a f m - a -
va , mm a a a a f .A
a v a a a , a am a f m
m a f a m.

v a a f m v a va a ; a a f
a &D a - a f a a .

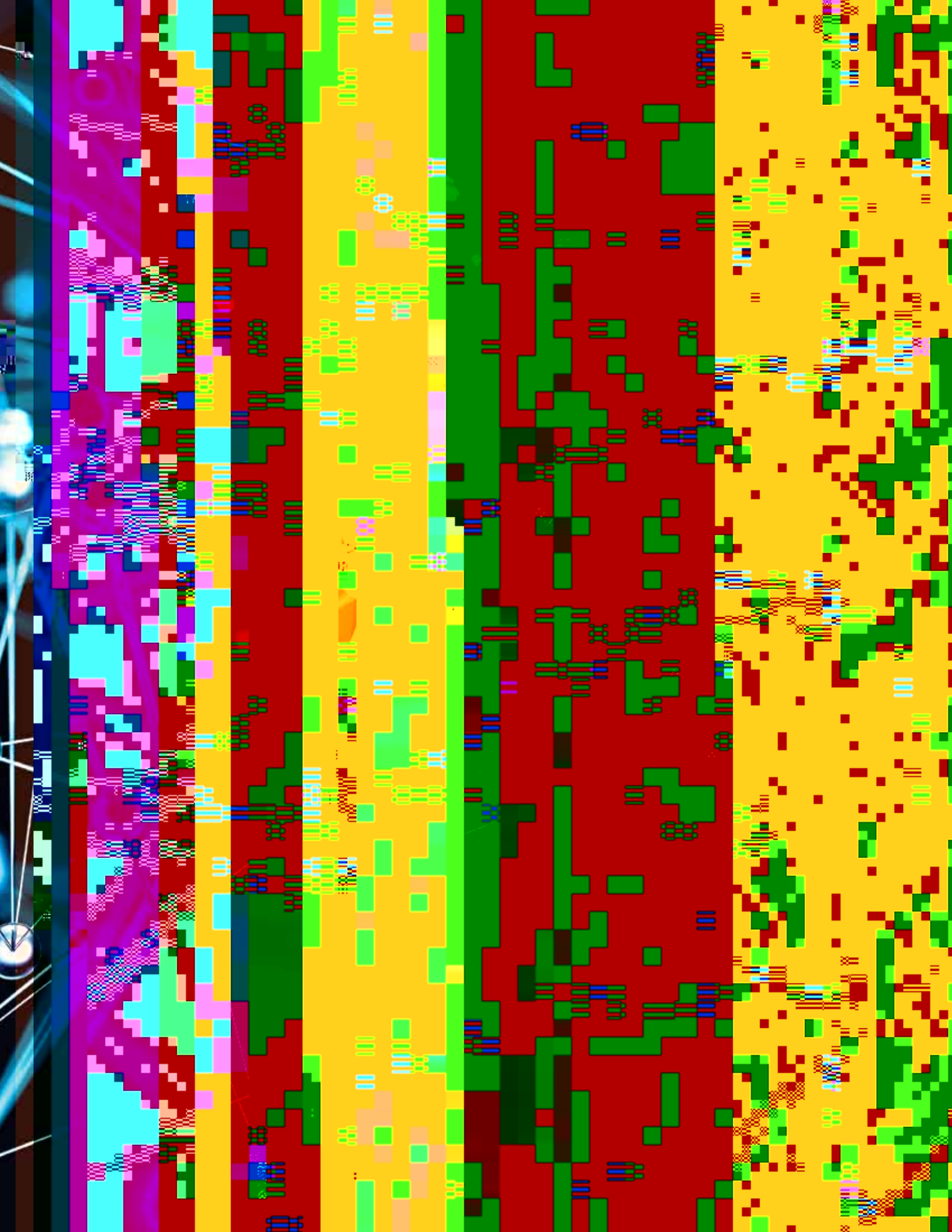
v a a M v a f a
C mm a a a v m - a f ,a v
a f m a . a a M ' m-
m a a :

- **Maintain basic scientific research funding.** Ba a v - m
m a a v a a a a a
a f mm a , a v a a
a .

- **Incentivize technology transfer through a new federal commercialization fund.**
f a f f v , f a v m
a a f a a mm a a ,
m va m . v m a a m-
m a a ma v f am.

- **Increase technology transfer capacity through federal matching grants.** f -
a v m mm a ma a am a f
a a aff a a . m a
a ma a ma a av m m a . a
f a a m a a v v a - a
a v a m . m av v a
a a f .

- **Increase technology transfer efficiency by adopting best practices.** A a
v , m m a v a f a
a mm a a a v . f f
a v f 25 a f a
C mm a a a a , a a m f
ava a f v a a a a a m am ,
m va a .



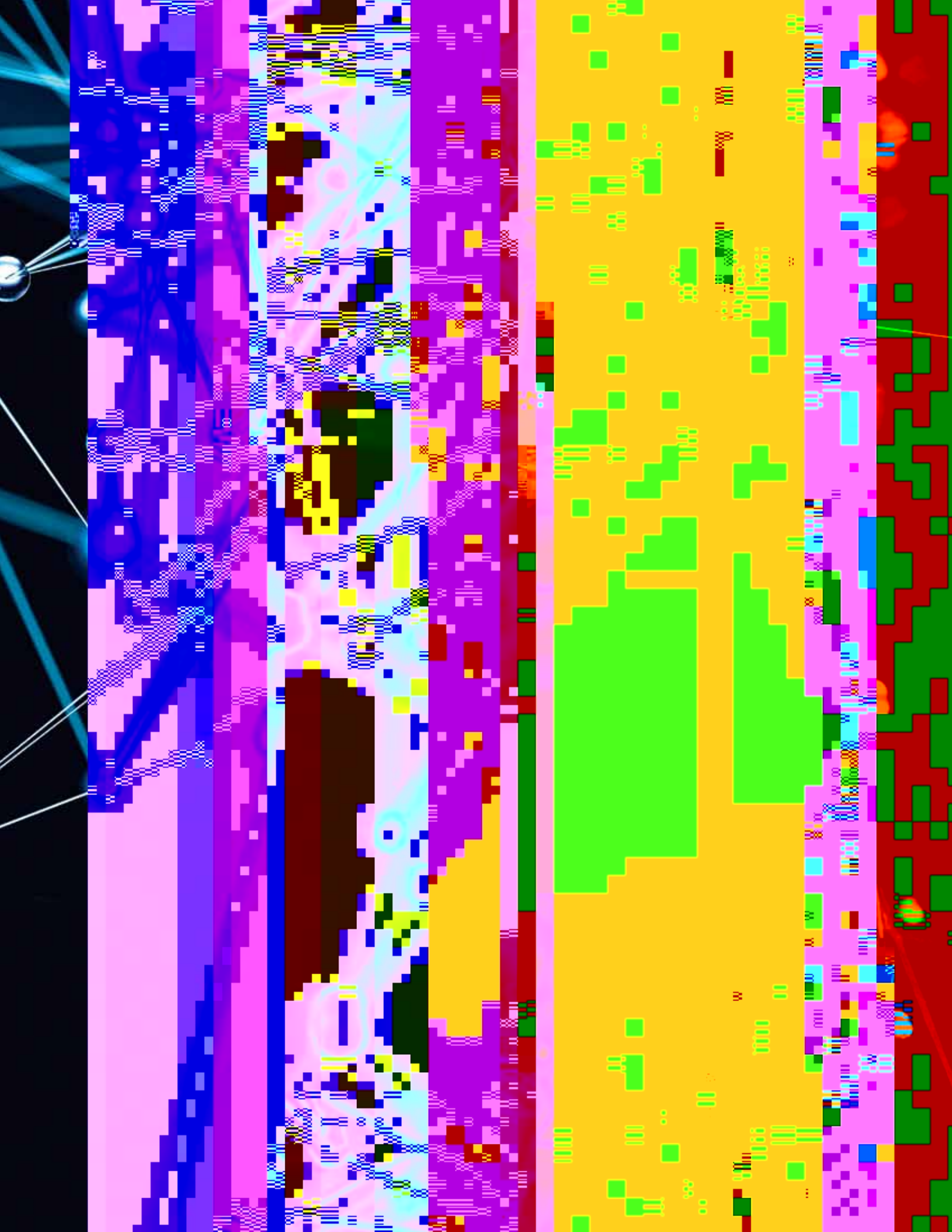
FULL RESULTS



-
-
-
-
-

	— S	— S	— S m	S S	— S
81 S U i i y	72.11	64.01	81.16	68.20	78.65
82 S f B i S i	66.94	77.52	87.65	58.00	78.60
83 U i y f i f i S B	88.37	69.16	62.33	77.29	78.44
84 B i m & m H i	68.57	75.07	86.94	57.55	78.02
85 U i y f	73.92	63.60	81.24	65.69	77.96
86 H i B	75.51	77.31	88.16	51.99	77.87
87 U i y f A f M i S i	55.74	59.21	83.58	72.75	77.85
88 E i S U i y	79.60	60.81	80.09	65.23	77.78
89 S i H y	50.15	72.97	86.80	65.47	77.64
90 U S U i y	70.33	69.53	80.68	64.17	77.55
91 i U i y	72.53	60.32	81.5		

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APPENDIX

7.1. METHODOLOGY OF INDEX CONSTRUCTION

Step 1: Data Collection

- A list of variables is identified, including (1) variables, (2) variables, (3) variables, (4) variables, and (5) variables.

Step 2: Transforming Variables-part 1

- Variables are transformed into standardized form.

Step 3: Transforming Variables-part 2

- Variables are weighted according to their importance.

Step 4: Index Calculation-Stage 1

- The weighted variables are combined to form an index.

Step 5: Index Calculation-Stage 2

- The index is calculated using the weighted variables.

Step 6: Index Calculation Final Calculations

- The final index value is calculated.

Table 6: Milken Institute

Indicators	Weights for Stage 1	Weights for Stage 2
Indicator 1	0.1	0.1
Indicator 2	0.1	0.1
Indicator 3	0.1	0.1
Indicator 4	0.1	0.1
Indicator 5	0.1	0.1
Indicator 6	0.1	0.1
Indicator 7	0.1	0.1
Indicator 8	0.1	0.1
Indicator 9	0.1	0.1
Indicator 10	0.1	0.1

A a a a a f
 a a a ff am a a fa a a a
 a v a v m f a a f m
 v f Caf a m v f a a A&V
 a M a a a ma m a
 f a a f a a .D a a a v a m a m
 fa a a a a av f f

7.2. SUPPORTING TABLES FOR CASE STUDY IN SECTION 4.2

Table 7

One tail two-sample T-tests of equal variance with correlations		
Correlations (T-statistics)	NAICS 3254 Employment	NAICS 3391 Employment
Hospitals	0.3	0.2
Medical School	0.3	0.2
LN (Total Research Funding)	0.3	0.2

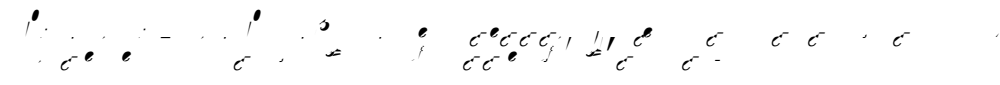


Table 8: Life Science Metro Clusters vs. States

Metropolitan clusters of the top tier states by sectors 3254 & 3391 output	Bottom tier states by sectors 3254 & 3391 output
10	10

ENDNOTES

1. Ca f a' va -Ba E m. N a a a E a IV
2015.)
2. D , a M a a a, a :
a Am a' va E m, M , 2016, .
16-17.
3. am aV , F : E a
v a D v E m G . a E v ,
m 35, 2006, . 1499-1580.
4. D , - , A m B a , a a Z a , A v a f D :
E f f E a a A a m a E Z m , M
, F a 2013, . 2-6.
5. <:// .a m. /A M a /m a v DF/A M F 2015>
a F A . f
6. D , A m B a , a , M M a : A G a A a f
v B a f a C m m a a , M ,
m 2006.
7. M a a M . , D a & D v , a
f E m B a v a a , . 53, 2004, . 237-260. A C. B a ,
. B , a G . a , C , va a G : A C m a a v
f E a C , . D a . M ()
M a a F m : G a - a D m m a , (: 2001) . 190-
214, a . Ba a a G . a , D a m f F m G a E

15. D , C : A a a mf Ca f a'
 E m , Z M a a , 1999.
16. D ' , C , A m B a a , Am a'
 B a f , C , M , 2004.
- 17.

D m 2011 :// . a a . / a /251514571 Dff
a f -Ba a D v m -Ba
a f V a m a Ba , A 1/4/2017

61. A . , D a , Ba B ma , A Em a A a f
f A a m E a f ma v a f ,
a C a C a . 16, .4 24/06/2017, a 12/29/2017

62. B a f a a a Em m a V a 2015
a a a a Em m a a E ma ,
A C 325400 - a ma a a V a fa , :// . . v/
/ / a 4 325400 ma 2/17/2017

63. .
64. .

65. B a f a a a Em m a V a 2015 a a
a a Em m a a E ma A C 339100 -
V a E m a V a fa , :// . . v/ / /
a 4 339100. ma 2/17/2017

66. E a a a , m a ma a, E

67. C B a 2014 V A m m a a

68. G E , E a . Ga a am . , a Ca a m-
a ? Ev f m C a m a a , Am a E m v ,
100, .3, 2010, :// . . / a /27871244 a 1/9/2017

69. .

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